



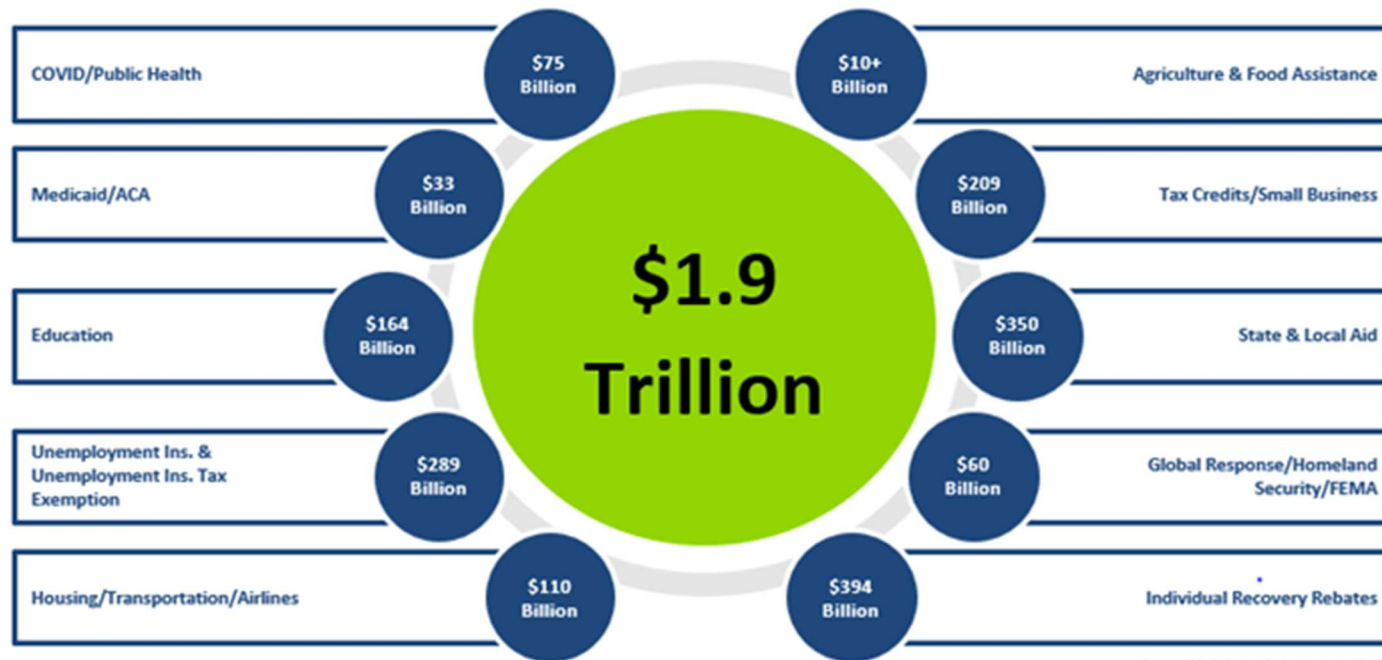
American Rescue Plan Act

# The City Manager's Proposed Dayton Recovery Plan

City Commission Work Session

December 8, 2021





## Background

- On March 11, 2021, President Biden signed the \$1.9 trillion American Rescue Plan Act (ARPA) into law.
- Based on a modified CDBG formula, the Local Fiscal Relief section of the bill provides \$138 million to the City in two equal installments; the largest grant in the City's history.

# City Process

- The City Manager mobilized the organization to develop a framework, **The Dayton Recovery Plan**, for this unprecedented funding with the goal of disrupting multi-generational poverty, income, and health disparities in targeted geographies.
- Consistent with the Interim Treasury Guidance, criteria for project awards were created that included special focus on Black and Brown businesses and addressing racial equity and inclusion.
- Assisting and establishing PEP-certified companies to advance opportunity and wealth creation in historically underserved Black and Brown communities was a principled value for this funding.



City of Dayton, Ohio Department of PMB  
The City of Dayton Community Investment ARPA Award  
RFP No. 21-031PMB  
September 2021

EXHIBIT E

Criteria	Measurement	Questions	Score	Possible Points
Federal Allowable Uses	Yes/No	Does the project address the negative economic impact of COVID-19?	Must meet one of the three Uses	Must meet one of the three Uses
Federal Allowable Uses	Yes/No	Does the Project respond to and/or mitigate the public health emergency with respect to COVID-19?		
Federal Allowable Uses	Yes/No	Does the Project serve the hardest hit communities or families?		
Time to Complete	Yes/No	Can the project be completed in 36 months?	Must complete <36	Must complete <36
City Commission Focus Area	Scale High to Low	Does the project advance a stated City Commission focus area? Which one?		15
Community Outcome	Benefit cost ratio & Scale High to Low	Does the project have a positive ROI, Benefit-Cost, or Social Impact Return?		15
Racial Equity and Inclusion	Directly/Indirectly/ Does not Address	Does the project address an economic or social inequity and or advance inclusion? Does this hit the targeted geographies of W, NW and tornado impacted E?		15
One-Time Expenditure	Operating cost as a % of project cost	Does the project have any on-going operating/legacy costs?		10
Project Synergy	Strong/Weak/None	Does the project complement other investments or approved plans creating synergy?		10
Future Costs	Present value as a % of project cost	Does the project increase revenue, reduce future operating costs, protect a significant asset or reduce a significant future liability?		10
Sustainability	Scale High to Low	Does the project reduce adverse climate impacts or promote Sustainability?		10
Other External Resources	Present value as a % of project cost	Does the project leverage other external resources?		10
Cost	Describe	Does the amount provide for transformational impact?		5
TOTAL POINTS				100



# City Process

On June 2, the City Commission adopted Resolution 988-21-A outlining the City's intention to invest ARPA proceeds into six investment areas:

- Neighborhood Investments
- Amenities
- Major Catalytic Investments
- City Organization Projects
- Community Proposals
- Small Business Support

By: ..... MR. JOSEPH ..... No. .... 988-21-A .....

## AN INFORMAL RESOLUTION

Establishing Dayton's Proposed Uses of the American Recovery Plan Acts Funds.

**WHEREAS**, The American Rescue Plan Act ("ARPA"), was signed into law on March 11, 2021. It is a \$1.9 trillion economic stimulus bill meant to speed up the United States' recovery from the economic and health effects of the COVID-19 pandemic and the ongoing recession; and,

**WHEREAS**, Among many things, ARPA established the Coronavirus State and Local Fiscal Recovery Funds ("CSL Fund"), providing \$350 billion in emergency funding to eligible state, local, territorial, and Tribal governments; and,

**WHEREAS**, The CSL Fund is intended to support local governments' response to the COVID-19 emergency and its economic impacts and lay the groundwork for a strong and equitable recovery. Funds can be used to cover eligible costs incurred through December 31, 2024 (with project completion by December 31, 2026); and,

**WHEREAS**, The City is a direct recipient of funds, receiving a total allocation of nearly \$138 million in two (2) tranches. In mid-May the City received its first tranche of roughly \$69 million. This is the largest grant in the City's history and presents an unparalleled opportunity to improve the quality of life for city residents; and,

**WHEREAS**, In March, City staff began to work diligently on a framework that would not only identify much needed and impactful projects and programs but also allocate the funds according to City Commission priorities. This framework had a lens for evaluating projects through community outcomes, racial equity, and inclusion while adhering to long standing financial principles such as one-time revenue being spent on one-time investments, and prioritizing projects that reduce future operating costs or increase future revenues; and,

**WHEREAS**, While the City awaited guidance from the U.S. Treasury, the City developed six focus areas in which to spend the funds. A community engagement and transparency process, utilizing the Community and Neighborhood Development Advisory Board ("CNDAB") was also drafted into the framework; and,

**WHEREAS**, The U.S. Treasury released an Interim Final Rule document May 10, 2021 with more specifics on eligibility and to provide guidance on utilization and implementation; and,

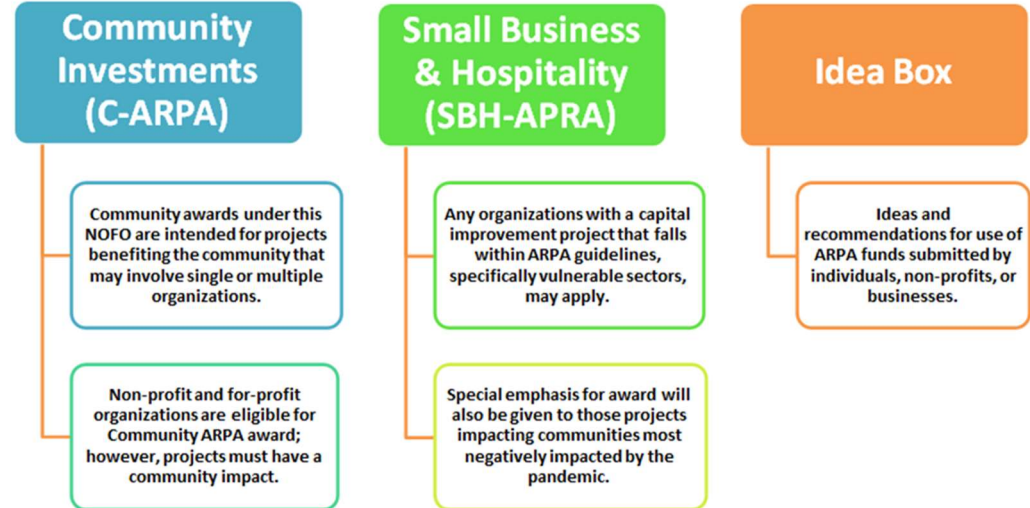
**WHEREAS**, Eligibility, as outlined in the guidance document, includes the use of recovery funds to: support public health expenditures, address negative economic impacts caused by the public health emergency, replace lost public sector revenue, provide premium pay for essential workers, and invest in water, sewer, and broadband infrastructure; and,

# City Process

- Targeted project applications were solicited from City Staff to address investment in the following areas:
  - Neighborhoods – investments to improve the quality of life in our neighborhoods including demolition, housing, sidewalks, and tree lawns
  - Amenities – investments that provide recreational activities and promote healthier life-styles including park upgrades, new and upgraded spray parks
  - Major Catalytic Projects – investments that spur additional economic activity and advance economic recovery
  - City Organization Projects – investments that support and enhance City Service delivery
- A process was also conducted to solicit project applications for:
  - Community Projects
  - Small Business Support

# City Process

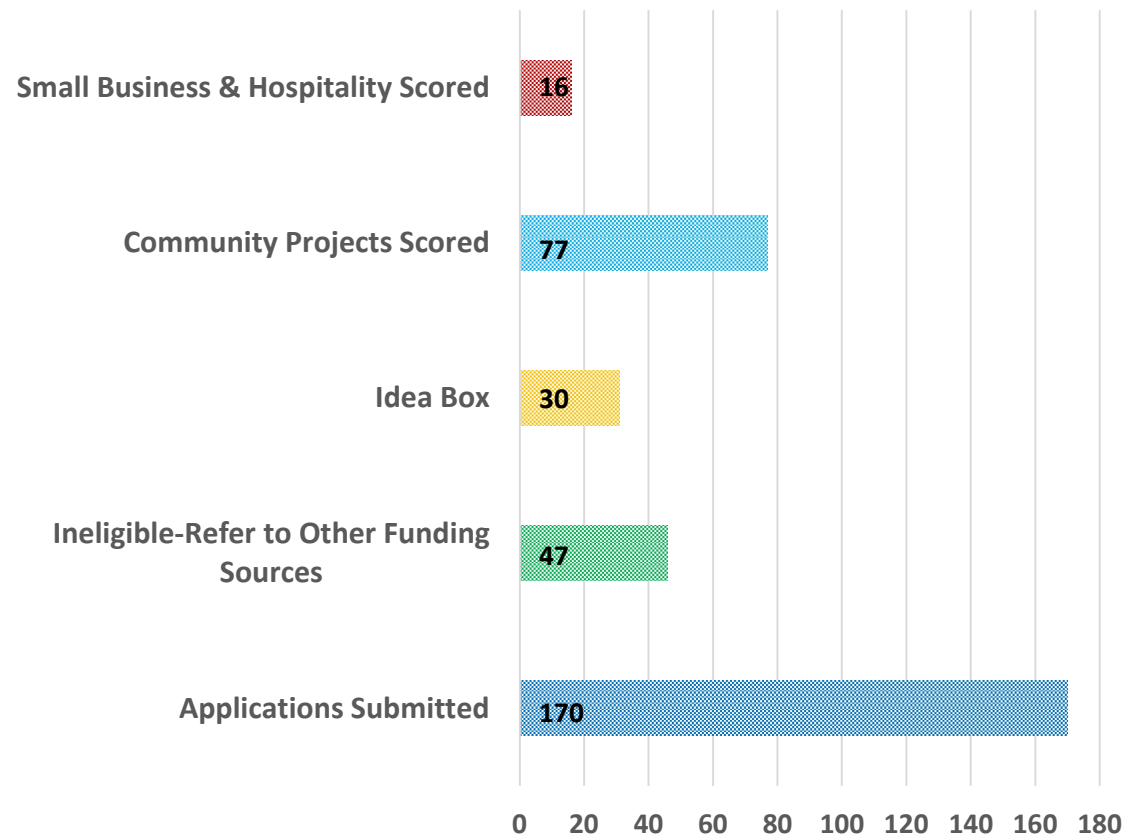
- On September 16, three Notices of Funding Opportunities (NOFOs) for Community Projects, Small Businesses and an Idea Box were released and publicized.
- Ten technical assistance workshops with in-person or virtual office hours were offered to assist the community with completing applications. More than 265 individuals/organizations were assisted.
- The application period closed at 5 p.m. on Friday, Oct. 29. A total of 170 applications were received, with funding requests totaling about \$311 million.



# City Process

- A subcommittee of the Community and Neighborhood Development Advisory Board (CNDAB), a committee that oversees the City's federal Housing and Urban Development (HUD) funding, scored the 93 eligible applications.
- The full Board approved the scoring committee's list of ranked projects for recommendation to the City Manager.

Applications Stats

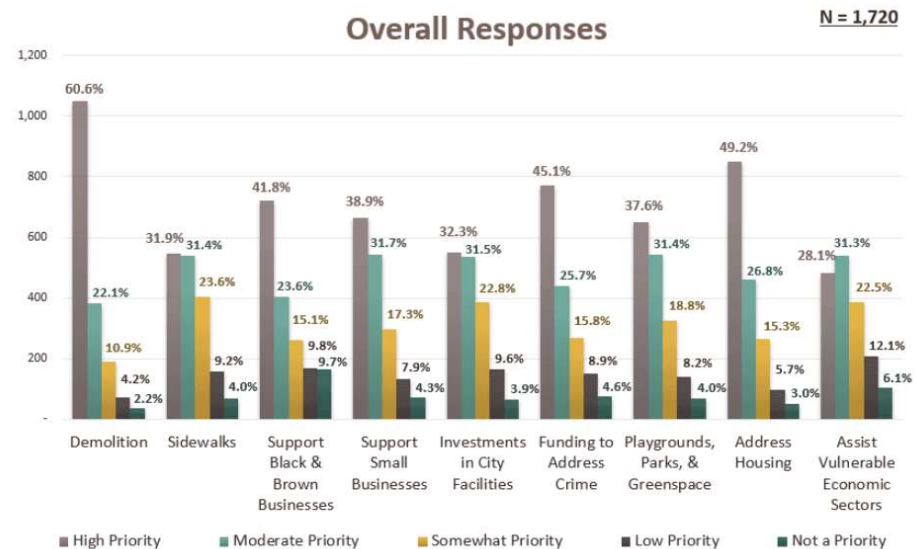


# Community Engagement

In keeping with our commitment to accountability and transparency, the City posted an online survey to gather community input on the six investment areas.

Over 1,700 responses were received.

## SURVEY RESULTS







## Community Engagement

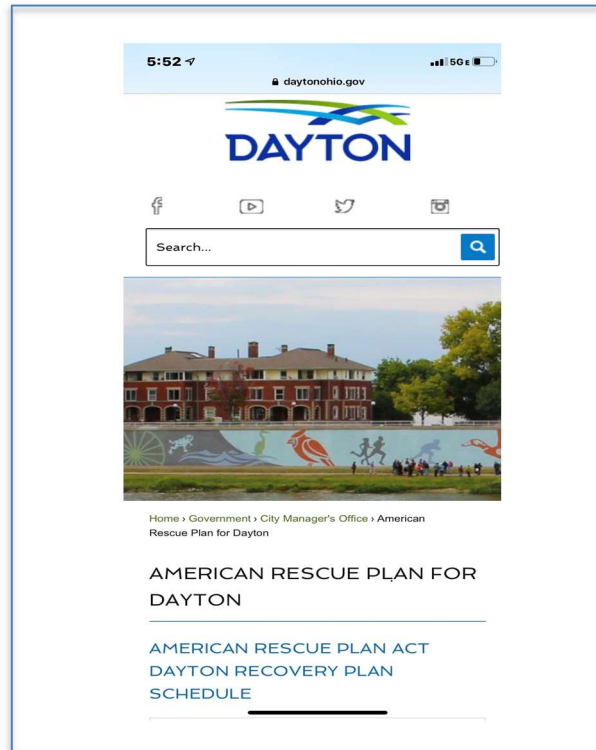
The City Commission along with the City Manager conducted seven listening sessions across the City

A photograph of four people in a room with a blue wall and an orange horizontal stripe. On the left, a man in a striped shirt and a black mask with 'DAI' on it holds a black folder. Next to him is a man in a dark suit and a white mask. On the right, two women are clapping; one wears a maroon top and a black mask with 'ION' on it, and the other wears a dark top and a black mask. A large, semi-transparent white circle is overlaid on the right side of the image, containing the text.

# Community Engagement

**And four Follow-Up Sessions with the  
Community during the first week of  
December**

# Community Engagement



- A dedicated ARPA web-page was created where residents, businesses, and stakeholders could access all ARPA-related information.



- The City's Fall Newsletter, sent to all Dayton households, featured a message from the City Manager informing residents about ARPA opportunities.



# The Dayton Recovery Plan

## Social Determinants of Health Index



# The Dayton Recovery Plan

## Social Determinants of Health Index

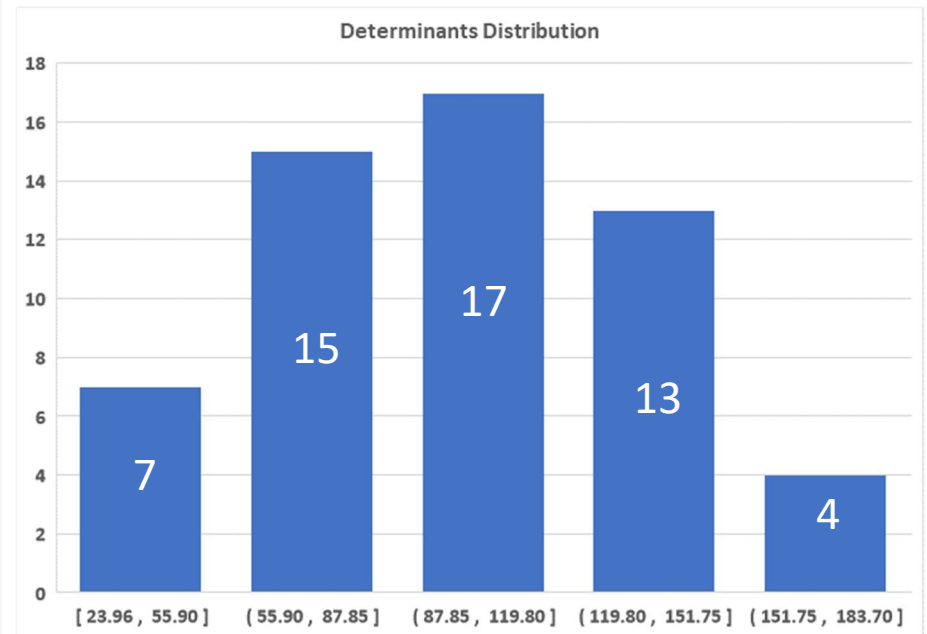
Description of Measure	Determinant Type	Data Source	Release Year
Proportion of Individuals in HUD Assisted Housing	Housing	HUD Picture of Subsidized Household	2020
Housing Vacancy Rate	Housing	American Community Survey	2015-2019
Proportion of Families Living in Poverty	Economic	American Community Survey	2015-2019
Median Gross Rent as a Proportion of Monthly Income	Economic	American Community Survey	2015-2019
Proportion of Adults in Poor Physical Health	Health	Center for Disease Control	2020
Proportion of Adults with Poor Mental Health	Health	Center for Disease Control	2020
Proportion of Adult Population without High School Diploma	Education	American Community Survey	2015-2019
Proportion of Households with No Computer Device	Education	American Community Survey	2015-2019
Entropic Measure of Segregation: Proportion of Black Individuals	Social	American Community Survey	2015-2019
Proportion of Households Utilizing Snap	Social	USDA ERS Food Environment Atlas	2020

1. Using the Social Determinants of Health as a guide, two metrics were chosen to represent the five social determinant types.
2. The lowest geographic unit is the Census Tract; the lowest statistical unit is a person.
3. All data is taken from the most recent data release.
4. The data are identified, high level outcomes from Federal data sources, such as the CDC, the Census Bureau, Housing & Urban Development and the US Department of Agriculture.



# Census Tracts

- A “high” numerical value on the index indicates an area that is in the greatest need or is experiencing the highest level of social harm.
- Raw score average was 106.70; scores were normalized to an average of 100 for simplicity.
- Of the 56 census tracts in the city, 30 had a score greater than 100.
- The highest need census tract had a score of 184, the Wolf Creek area.
- Omitting the area around the airport, the lowest need census tract had a score of 33, the Pheasant Hill neighborhood.



## Targeted Geographies: West, Northwest, and Tornado East



# The Dayton Recovery Plan's Goal

- The goal of the proposed **Dayton Recovery Plan** is to create long-term transformational and sustainable impacts in our City, especially in targeted geographies.
- **The Dayton Recovery Plan** was developed using a data-driven approach that analyzes socio-economic, health, and demographic data to make informed funding decisions that benefit minority communities disproportionately impacted by the pandemic while also addressing long-standing economic and social inequities in our city.

# U.S. Treasury Interim Final Rule

- The Treasury Department issued the Interim Final Rule for use of ARPA funds on May 17, 2021. We expect the final guidance to be released in the next two weeks.
- The City submitted comments to the Interim Final Rule on July 16, 2021 requesting greater flexibility in the use of these funds (i.e., demolition) to create transformational impacts in our neighborhoods.
- Mayor Whaley was not only instrumental in the passage of the Act, but also a national leader as the President of the US Conference of Mayors, in seeking greater flexibility for ARPA funding.
- The Interim Final Rule provides a formula for calculating revenue replacement that looks at the revenue growth rate of General Revenues for three years prior to the pandemic.
- The City declared \$25.6 million in revenue replacement funds for 2020. Funding the Dayton Recovery Plan in December will allow us to use the \$25.6 million for any governmental purpose.

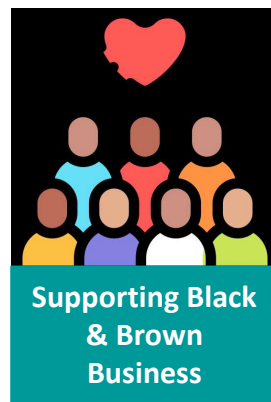


# Proposed Dayton Recovery Plan

## \$138 MILLION



**\$55.0**  
Million



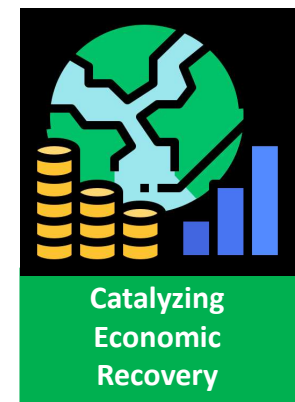
**\$7.6**  
Million



**\$7.3**  
Million



**\$21.5**  
Million



**\$10.8**  
Million

To Cover Revenue Losses Associated  
with the Pandemic in order to Maintain  
City Services through 2024  
\$36.0 Million



# Improving Our Neighborhoods

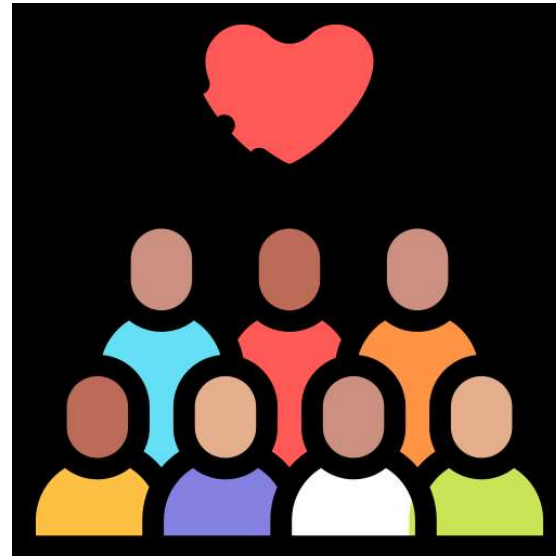
\$55.0 Million



- Demolish blighted structures \$15.8M
- Improve housing conditions: provide in-fill and new housing; rehab and repair \$18.7M
- Support neighborhood-based businesses and services \$800,000
- Reconstruct sidewalks and curbs \$10.0M
- Plant new tree lawns \$1.0M
- Upgrade parks \$2.2M
- Improve and construct new spray parks \$4.4M
- Provide other amenities \$2.1M

# Supporting Black & Brown Businesses

\$7.6 million



- Establish a Racial Equity Fund **\$3.0M**
- Create a Micro-Grant Opportunity Fund **\$1.5M**
- Assist Black & Brown Businesses to make capital investments **\$3.1M**

# Aiding Community & Small Business Recovery

\$7.3 Million



- Support community health and wellness \$4.4M
- Incent savings through an EITC Savings Program \$450,000
- Assist Small Businesses \$500,000
- Provide Educational Opportunities \$1.0M
- Support Community Organizations \$1.0M

## Enhancing Critical City Services

\$21.5 Million



- Address community health and crime through a new joint Police-Fire station with space for the community **\$11.0M**
- Improve City facilities **\$10.5M**

# Catalyzing Economic Recovery

\$10.8 Million




- Invest in the historic Wright Factory Site **\$3.8M**
- Create a First Floor Fund to make loans in the City's Business Districts **\$7.0M**



# The Dayton Recovery Plan

## Map Legend

 Focus Neighborhoods

 Focus Locations

Targeted Geographies (by Census Tract Score)

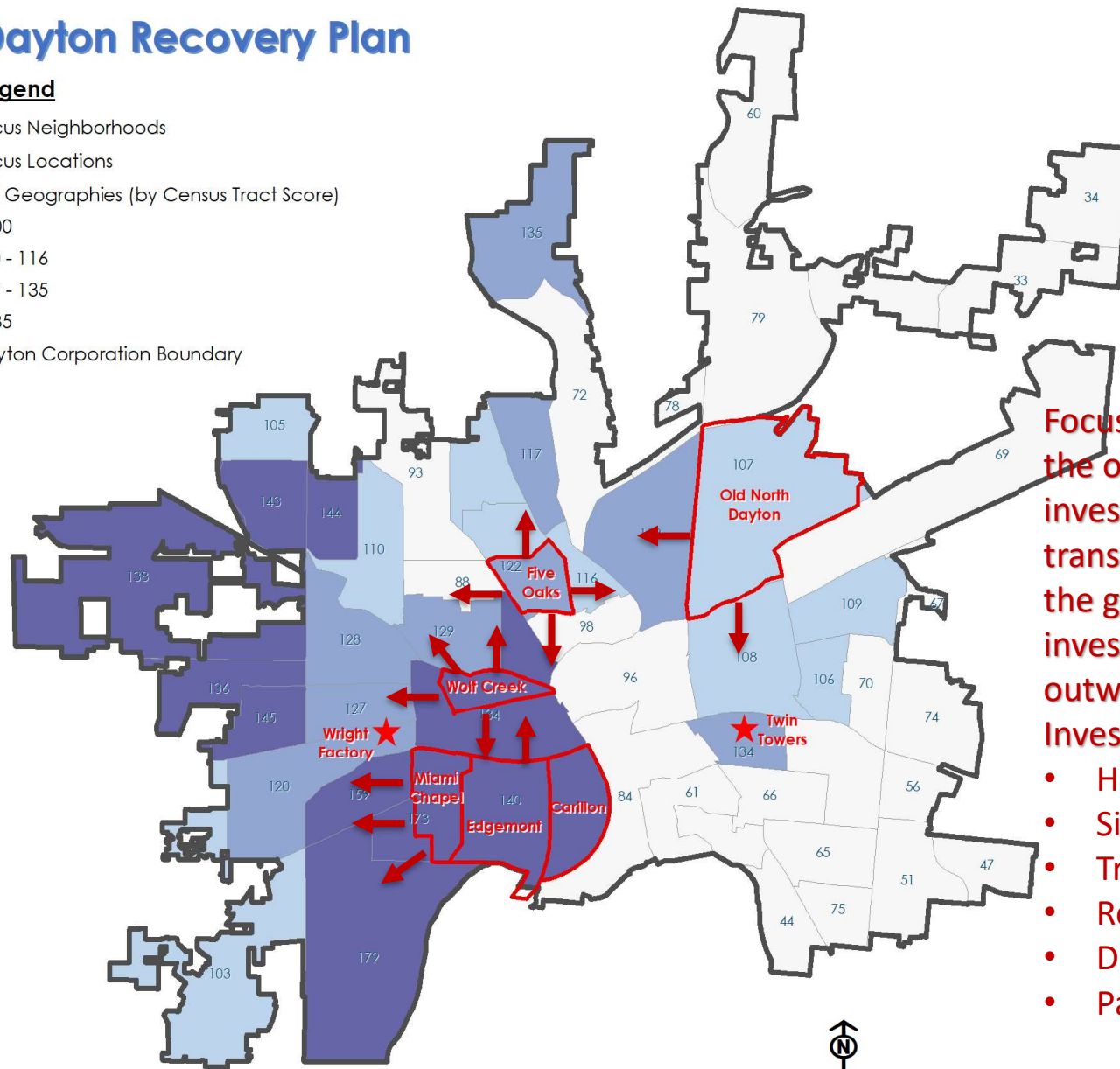
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 Dayton Corporation Boundary



Focus Neighborhoods provide the opportunity to layer investments creating long-term transformational impacts with the goal of seeding future investment that will spread outward.

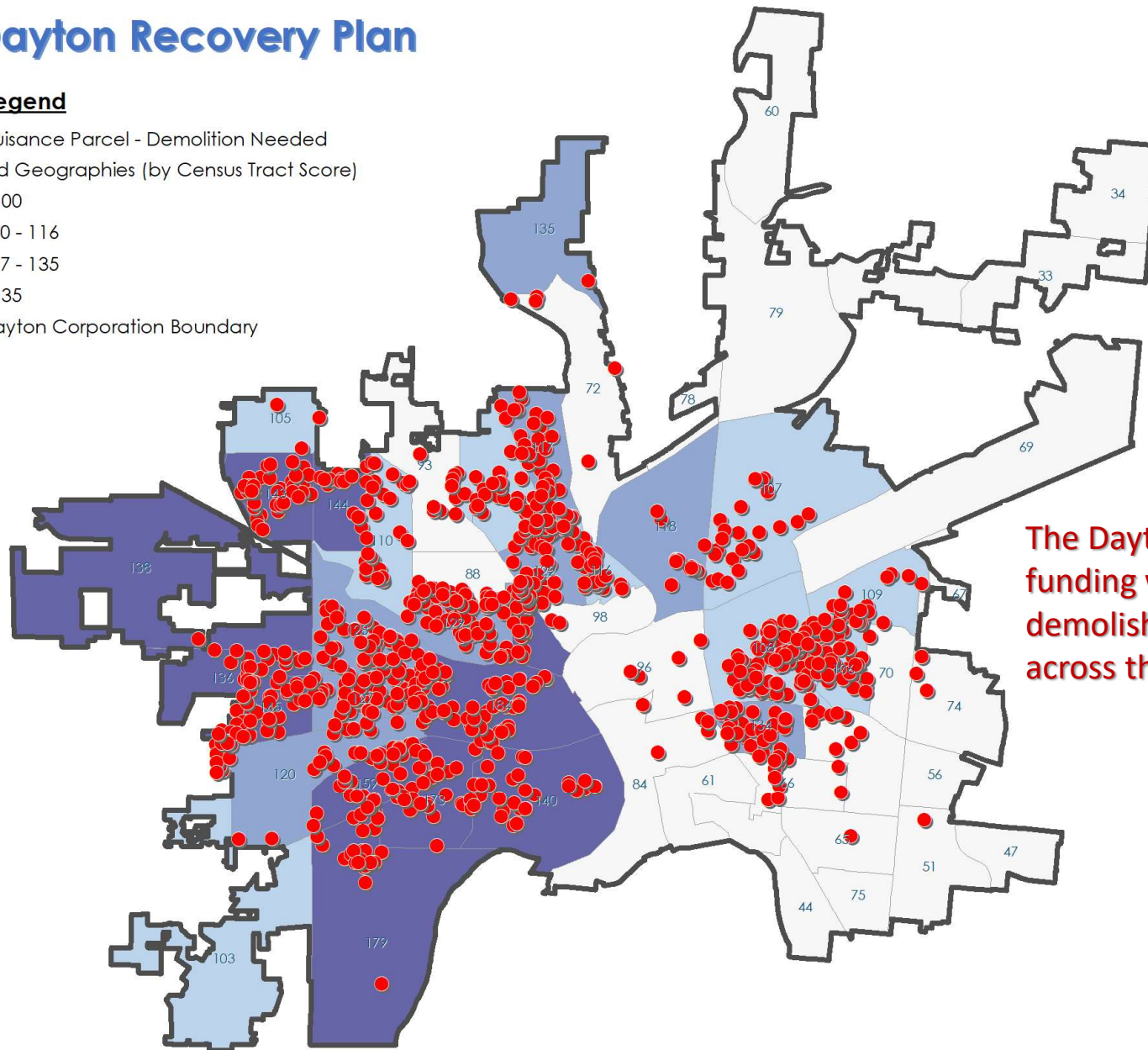
Investments will include:

- Housing
- Sidewalks & Curbs
- Tree Lawns
- Residential Resurfacing
- Demolition
- Park Upgrades

# The Dayton Recovery Plan

## Map Legend

- Nuisance Parcel - Demolition Needed
- Targeted Geographies (by Census Tract Score)
  - <100
  - 100 - 116
  - 117 - 135
  - >135
- Dayton Corporation Boundary



The Dayton Recovery Plan funding will allow us to demolish about 850 units across the city

# The Dayton Recovery Plan

## Map Legend

 Dayton Parks Upgrade Project

Targeted Geographies (by Census Tract Score)

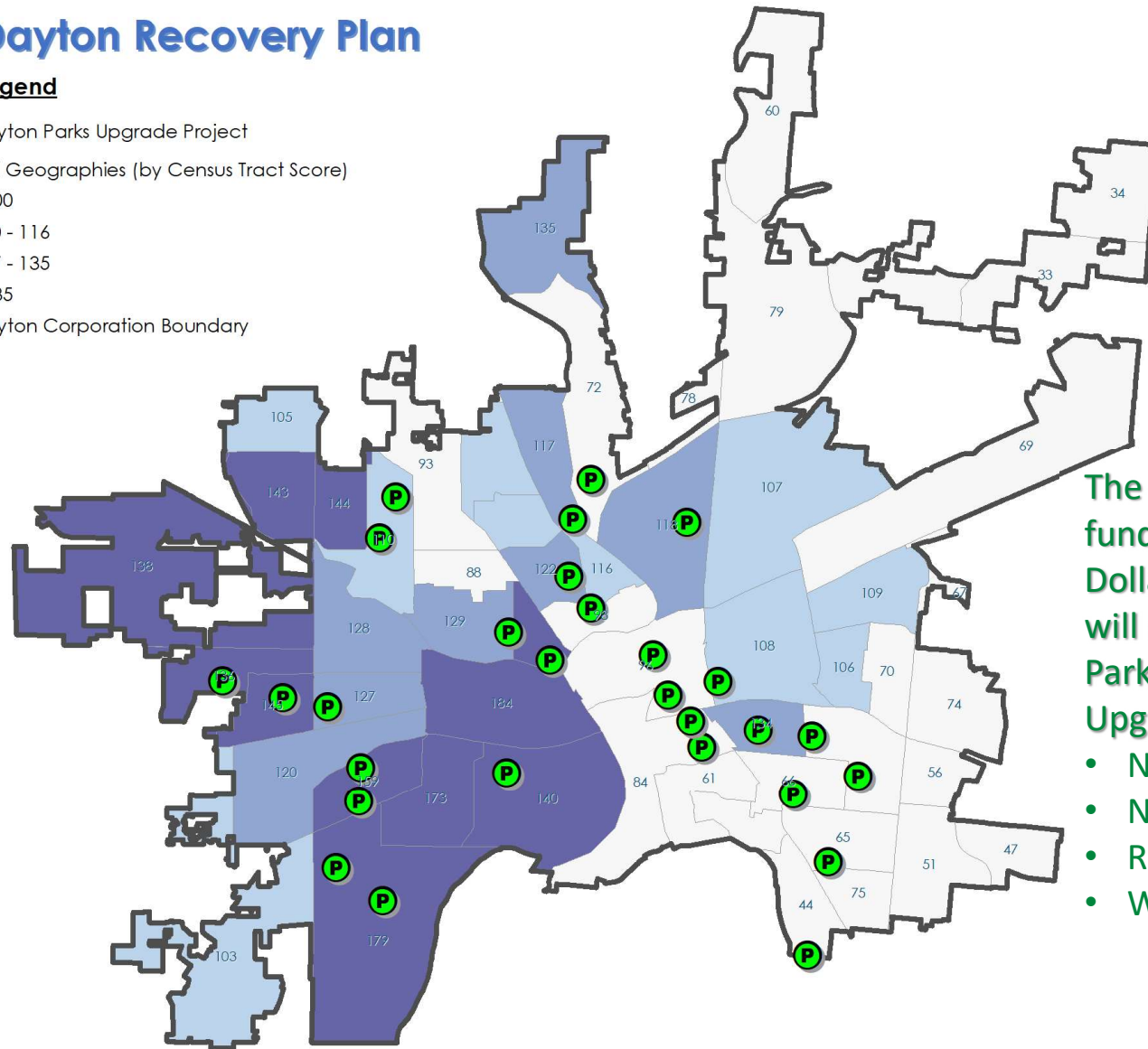
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 Dayton Corporation Boundary



The Dayton Recovery Plan funding, along with Your Dollars, Your Neighborhood, will allow us to upgrade 28 Parks across the city. Upgrades will include:

- New playground equipment
- New shelters & restrooms
- Repaved basketball courts
- Walking path and parking lot

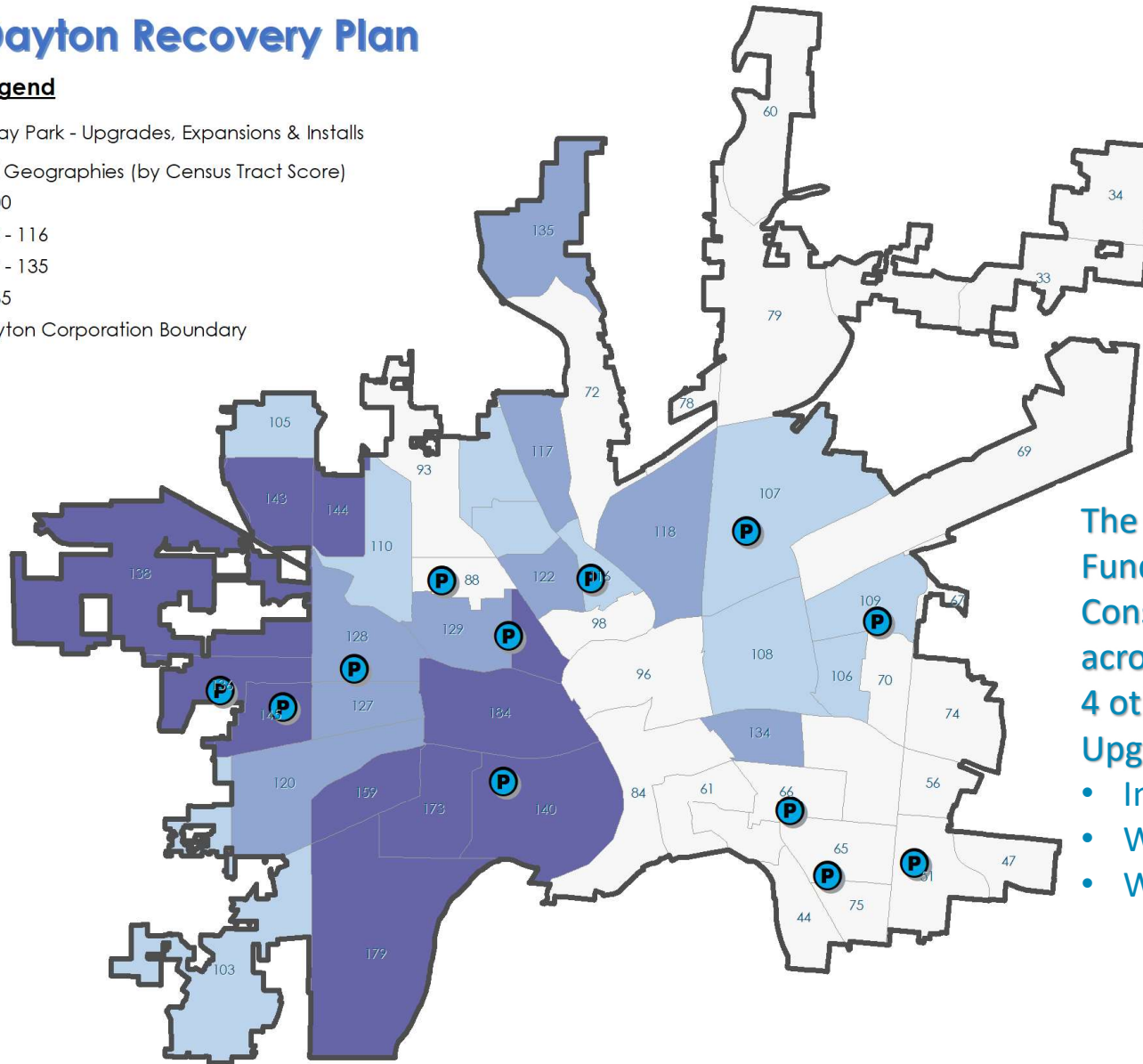
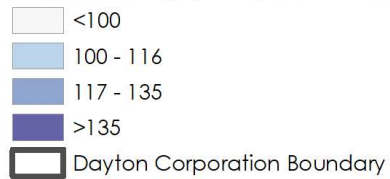


# The Dayton Recovery Plan

### Map Legend

**P** Spray Park - Upgrades, Expansions & Installs

### Targeted Geographies (by Census Tract Score)



The Dayton Recovery Plan  
Funding will allow us to  
Construct 8 new Spray Parks  
across the city and upgrade  
4 other Spray Parks.  
Upgrades will include:

- In-ground sprayers
- Water cannons
- Water dump buckets





# The Dayton Recovery Plan

## Map Legend

 Projects Awarded - Small Business Category

 Projects Awarded - Community Category

Targeted Geographies (by Census Tract Score)

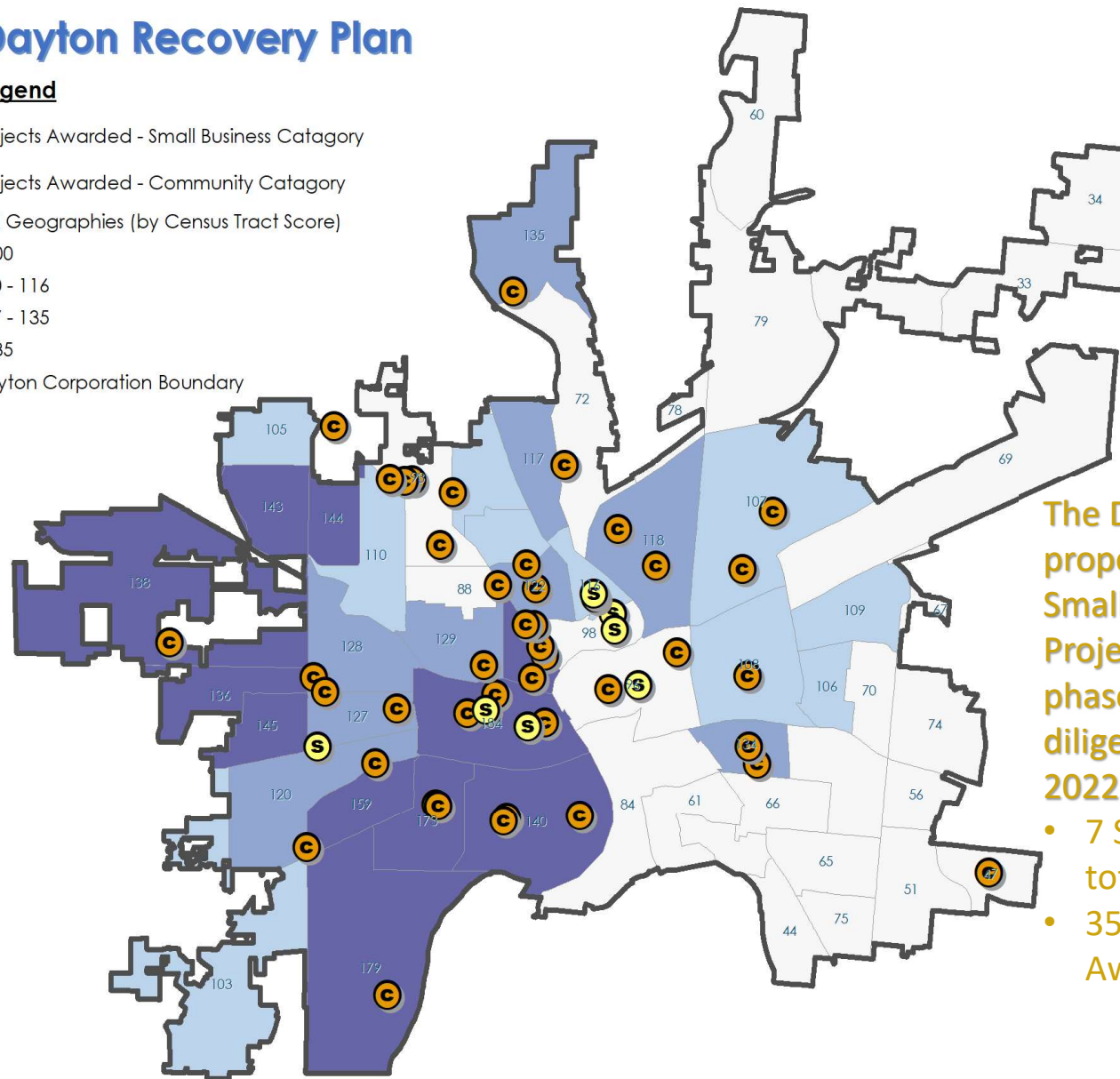
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 Dayton Corporation Boundary



The Dayton Recovery Plan proposes the following Small Business and Community Projects proceed to the next phase of review and due diligence for possible awards in 2022:

- 7 Small Business Awards totaling \$2.5M
- 35 Community Project Awards totaling \$19.1M





# The Dayton Recovery Plan

## Next Steps

- Public Hearing and Legislation on Wednesday, December 15, 2021.
- If approved, conduct review and due diligence on all funding awards to make certain they comply with applicable laws and standards.
- Prepare sub-recipient contract terms and conditions including environmental sustainability, financial leverage, and social outcomes to ensure successful implementation of the project.
- Approval by City Commission of subrecipient agreements and funding levels.
- Goal is to have all awards finalized by mid-year 2022.
- This leaves 2.5 years to obligate all funding.
- Develop and monitor performance metrics for each awarded project.
- Stringent reporting, compliance, and audit requirements will accompany these awards.

# Other Ohio Cities ARPA Plans

## Ohio Municipal League Survey

- According to a recent OML survey, the majority of respondents are planning to improve underground infrastructure, including upgrading a water plant, replacing water meters and lead water lines, purchasing generators for a water plant, water and sewer line extensions and replacing fire hydrants.
- A large number of respondents also stated that their municipality will use ARPA funds to invest in public safety, including hiring police officers and firefighters, updating a 9-1-1 dispatch center, and purchasing safety radios and EMS supplies. Several also stated that they will use the funds for revenue loss replacement.
- Many respondents are allocating ARPA funds for capital projects, improving parks and playgrounds and updating community buildings to make them safer in light of the pandemic. Others plan to support their communities through investments in restaurant and small business grants, youth programs, hunger support in schools, mental health counseling, and down-payment and renter assistance.

Source: Ohio Municipal League



# The Dayton Stimulus Command Center

- How do we leverage our ARPA funding to create greater impacts?



- Dayton was named as one of eight Stimulus Command Centers in the nation.
- The Command Center is an 18-month partnership involving the U.S. Conference of Mayors, Accelerator for America and Drexel University's Nowak Metro Finance Lab.
- The purpose of the Center is to maximize benefits to our region through planning, prioritizing and coordinating federal relief and recovery investments.
- A group of local private and public sector leaders have been meeting since June.

## Desired Community Outcomes

1. Advance opportunity and wealth creation in historically underserved black and brown communities.
2. Leverage funding sources in targeted geographies to create transformational and sustainable impacts while building neighborhood capacity.
3. Identify major catalytic projects to accelerate long-term economic recovery and resiliency.

# **Addendum**

## **List of Community & Small Business Projects**

**These proposals will move forward to the next step of the process for possible award in the first half of 2022**

**Funding amounts for project awards may be less than the applicant's request**

RECOMMENDED COMMUNITY PROJECTS TO ADVANCE		
Project Name	Applicant/Organization	Amount Awarded
Homefull Healthy Living in West Dayton	Homefull	2,500,000
Elevate Edgemont and PACE for Northwest Dayton	Five Rivers Health Centers	500,000
Cassano Health Center Renovation, Expansion and Transformation	The Grandview Foundation	250,000
Learn to Earn Dayton Capital Project for Northwest Dayton	Learn to Earn Dayton	279,100
Hoover Senior Lofts	St. Mary Development Corporation	1,500,000
Wolf Creek Homes	County Corp	300,000
Lincoln Hill Child and Family Center	Miami Valley Child Development Centers, Inc.	300,000
Longfellow Midtown	United Church Homes, Inc.	1,500,000
Omega Harvard Campus Master Plan	Omega Community Development Corporation	400,000
Houses of Hope	OneFifteen Recovery	380,000
Twin Towers Tomorrow (TTT)	WestCare Ohio, Inc.	1,000,000
Seasonal Safe@Home	Rebuilding Together Dayton Inc.	1,800,000
National Church Residences	National Church Residences	2,000,000
NW Health and Wellness Campus	YMCA of Greater Dayton	400,000
Eckerle Building Renovation	Catholic Social Services of the Miami Valley	250,000
West Dayton Pocket Park Ecosystem	The Conscious Connect Redevelopment Corporation	250,000
YWCA Dayton Economic Empowerment Center and Building Renovations	YWCA Dayton	500,000
Growing to Meet the Challenge	Good Neighbor House	200,000
The Mission House	Mt Enon Missionary Baptist Church	45,500
The John Watson Vaccination Plan	Ace Healthy Products	200,000
Angels @ Work Resource Center	njoy! njoy!	300,000
The Recycled Equipment Donated for Independence (REDI)	Access Center for Independent Living	264,500
Zust Center	New Beginnings for You, Inc	250,000
Kinship Care Family Housing	Dayton Children's Hospital	500,000
Hope Re-Imagined for Change	Hope Road Organization	25,000
Community Center Property Acquisition and Build Out	Expressions of Life Inc.	147,000
Protecting Dayton's Treasures	Dayton Society of Natural History	200,000
NCCJ Mental Health Prevention	The National Conference for Community and Justice of Greater Dayton	40,800
Westside Makerspace Cooperative	Greater Dayton Union Cooperative Initiative Inc	1,025,000
Critical Roof Repairs	Habitat for Humanity of Greater Dayton	800,000
Link: Dayton Bike Share West Dayton Expansion	Bike Miami Valley Ohio	100,000
Bike Miami Valley	Link: Dayton Bike Share West Dayton Expansion	100,000
Wesley Forward ( Wesley Dayton Reuniting the Community)	Wesley Community Center	300,000
NPower Dayton Project	NPower Inc.	300,000
West Dayton Community Recreation and Space Sharing Project	BOYS & GIRLS CLUB OF DAYTON INC	300,000
<b>General Summary- Community</b>	<b>35 Organizations</b>	<b>\$19,206,900</b>
<b>Total Minority/Low-Income Benefit</b>	<b>29 Organizations</b>	<b>\$16,012,400</b>



RECOMMENDED SMALL BUSINESS/HOSPITALITY TO ADVANCE		
Project Name	Applicant/Organization	Amount Funded
Chicken Head's	The Chicken Spot, LLC	178,100
Speedie Chilcare Center: Expanding Access to Quality Early Learning Programming by addressing space and health and safety	Speedie Daycare 2, LLC	75,000
Pop Up Preschool	TOPS Inc. and Associates dba On Purpose Academy and Mentoring Center	150,000
Videoconference and Connectivity Center	Nalls Law Group LLC	41,400
Building A New Ronald McDonald House	Ronald McDonald House Charities (RMHC) Dayton	500,000
Path to Prosperity: MVUL's Small Business & Entrepreneurship Program	Urban League of Greater Southwestern Ohio	1,500,000
Third Perk, GETS A PERK UP	JM Enterprise LLC	82,400
<b>Totals:</b>	<b>7 Businesses</b>	<b>\$2,526,900</b>
<b>Total Minority/Low-Income Benefit</b>	<b>6 Businesses</b>	<b>\$2,026,900</b>